



PATENT

Case Docket No. ASMNUT.014DV1

Date: September 21, 2004

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Talieh et al.  
Appl. No. : 09/941,360  
Filed : August 28, 2001  
For : METHOD AND APPARATUS  
FOR PLATING AND  
POLISHING A  
SEMICONDUCTOR  
SUBSTRATE  
Examiner : D. Valentine  
Group Art Unit : 1742

I hereby certify that this correspondence and all  
marked attachments are being deposited with the  
United States Postal Service as first class mail in  
an envelope addressed to: Commissioner for  
Patents, P.O. Box 1450, Alexandria, VA 22313-  
1450, on

September 21, 2004

(Date)

Tina Chen, Reg. No. 44,606


## TRANSMITTAL LETTER

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) A Revocation and General Power of Attorney.
- (X) A Statement Under 37 CFR §3.73(b) and Change of Correspondence Address.
- (X) A copy of the Assignment as filed on August 28, 2001 in 3 pages.
- (X) A Notification of Loss of Entitlement of Small Entity Status.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.
- (X) Return prepaid postcard.

  
Tina Chen  
Registration No. 44,606  
Attorney of Record  
Customer No. 20,995  
(415) 954-4114

Docket No.: ASMNUT.000GEN

Customer No. 20,995

**REVOCATION  
AND  
GENERAL POWER OF ATTORNEY**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

The undersigned is an empowered representative of the Assignee and hereby appoints the registrants of Knobbe, Martens, Olson & Bear, LLP, Customer No. 20,995, as attorneys and agents to represent the Assignee before the United States Patent and Trademark Office (USPTO) in connection with any and all patent applications assigned to the Assignee according to the USPTO assignment records or assignment documents supplied with an accompanying Statement Under 37 CFR § 3.73(b).<sup>\*</sup> This appointment is to be to the exclusion of the inventor(s) and his attorney(s) in accordance with the provisions of 37 CFR § 3.71.

All previous powers of attorney for the below named Assignee are hereby revoked.

A Statement Under 37 CFR § 3.73(b), signed by a registrant of Knobbe, Martens, Olson & Bear, LLP, is attached setting forth a full chain of title for the subject application owned by the Assignee named below.

Please recognize or change the correspondence address for the above-identified application to **Customer No. 20,995**.

By: \_\_\_\_\_

Name: Homayoun Talieh

Date: 9, 1, 04

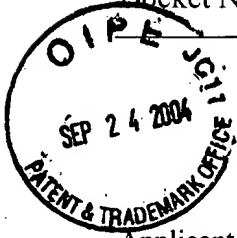
Title: President &amp; CEO

Assignee: NuTool, Inc.

Address: 1655 McCandless Drive

Milpitas, CA 95035

<sup>\*</sup> Knobbe, Martens, Olson & Bear, LLP is not the only law firm representing the Assignee before the USPTO. This Revocation and General Power of Attorney shall apply only to those patent applications that are entrusted to Knobbe, Martens, Olson & Bear, LLP by the Assignee and for which a Statement Under 37 CFR § 3.73(b), signed by a registrant of Knobbe, Martens, Olson & Bear, LLP is filed.



STATEMENT UNDER 37 CFR § 3.73(b)  
and  
CHANGE OF CORRESPONDENCE ADDRESS

Applicant : Talieh et al.  
App. No. : 09/941,360  
Filed : August 28, 2001  
For : METHOD AND APPARATUS FOR PLATING AND POLISHING A  
SEMICONDUCTOR SUBSTRATE  
Examiner : D. Valentine  
Group Art Unit : 1742

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

This document is being filed with a copy of a "Revocation and General Power of Attorney" signed by the Assignee and sets forth the chain of title of the above-identified application.

Please recognize or change the correspondence address for the above-identified application to **Customer No. 20,995**.

NuTool, Inc., a Delaware Corporation, is the Assignee of the entire right, title, and interest of the above-referenced application by virtue of:

The attached copy of the Assignment as was forwarded to the Recordation Branch on August 28, 2001.

The undersigned is an agent of Customer Number 20995 and is authorized to act on behalf of the assignee as provided in the attached copy of the "Revocation and Power of Attorney." All correspondence is to be directed to **Customer No. 20,995**.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: September 21, 2004

By: 

Tina Chen  
Registration No. 44,606  
Attorney of Record  
Customer No. 20,995  
(415) 954-4114

RECORDATION FORM COVER SHEET  
PATENT APPLICATIONS AND PATENTS ONLY

TO THE HONORABLE COMMISSIONER OF PATENTS AND TRADEMARKS:

SIR: Please record the enclosed original documents or copy thereof.

## 1. NAME OF CONVEYING PARTIES (ASSIGNORS):

- a. Homayoun Talieh  
b. Cyprian Emeka Uzoh

Additional names of conveying parties enclosed?

☒ NO ☐ YES

## 2. PARTY(ASSIGNEE) RECEIVING INTEREST:

NAME: **NuTool, Inc.**  
ADDRESS: **1645 McCandless Drive**  
**Milpitas, CA 95035**

Additional names and addresses enclosed?

☒ NO ☐ YES

## 3. NATURE OF CONVEYANCE:

(Submit only one document for recordation—multiple copies of same Assignment signed by different inventors is one document)

☒ Assignment of ☒ Whole ☐ Part interest☐ Change of name ☒ Patent Application☐ Security ☐ Merger ☐ Other:

Execution date:  
July 6, 1999

4. Execution date/s on Declaration (if filed herewith): July 13, 14, 1999

4.5 App./Pat Nos.: others on additional sheets enclosed?

☒ NO ☐ YES

A. Pat. App. No.	Matter #	1st inventor if not in item 1	B. Patent No(s)	1st inventor if not in item 1
09/285,621	0253037			

5. Name and address of party to whom correspondence concerning document should be mailed:

Pillsbury Madison & Sutro LLP  
1600 Tysons Boulevard, McLean, Virginia 22102

6. NUMBER INVOLVED:  
Applns: 1 + Pats: 0 = TOTAL 17. Amount of fee to be charged to deposit account (Code 581):  
Above Total x \$40 = \$40.00

5.5 ATTY DKT: 42496

269250

NT-0040

8. If above fee is missing or inadequate, charge insufficiency to DEPOSIT ACCOUNT NO. 03-3975

UNDER ORDER NO.: 0269250

MATTER NO.

CLIENT REF.

dup. sheet not required

CLIENT/MATTER

9. STATEMENT AND SIGNATURE. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Signature

10. Total pages including this cover sheet and document: 3

Atty: Chang H. Kim, Reg. No. 42,727  
Tel: (650) 233-4776 Fax: (650) 233-4545

Date: August 28, 2001  
Atty/Sec: CHK/mmh



Please return signed/recorded to:  
Pillsbury Madison & Sutro LLP  
Ninth Floor, East Tower  
1100 New York Avenue, N.W.  
Washington, D.C. 20005-3918

Atty Dkt. 42496/253037  
Client Ref. NT-004

ASSIGNMENT  
of U.S. Origin Patent Application

WHEREAS, the undersigned, to wit: (1) **Homayoun Talieh** and (2) **Cyprian Emeka Uzoh**, (hereinafter collectively ASSIGNOR), have made an invention entitled, *Method and Apparatus for Plating and Polishing a Semiconductor Substrate*, for which an application for Letters Patent of the United States was filed in the United States Patent and Trademark Office on April 3, 1999 under Serial No. 09/285,621; and

WHEREAS, **NUTOOL, INC.**, ASSIGNEE, a corporation duly organized and existing under the laws of the State of Delaware and having its principal office and place of business at 1645 McCandless Drive, Milpitas, California 95035, desires to acquire an interest therein:

\* \* \*

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, said ASSIGNOR does hereby sell, assign and transfer unto ASSIGNEE, its successors, assigns and legal representatives, the full and exclusive right, title and interest to the said invention in the United States and all foreign countries, as described in the aforesaid application, and to the said application and to all continuations, divisions, reissues and substitutes of said application, together with the right of priority under the International Convention for the Protection of Industrial Property, Inter-American Convention Relating to Patents, Designs and Industrial Models, and any other international agreements to which the United States of America adheres, and ASSIGNOR hereby authorizes and requests the Commissioner of Patents to issue said Letters Patent to ASSIGNEE, for its interest as ASSIGNEE, its successors, assigns and legal representatives.

AND ASSIGNOR hereby agrees to execute any papers requested by ASSIGNEE, its successors, assigns and legal representatives, deemed essential to ASSIGNEE's full protection and title in and to the invention hereby transferred.

ASSIGNOR furthermore agrees upon request of said ASSIGNEE, and without further remuneration, to execute any and all papers desired by said ASSIGNEE for the filing and granting of foreign applications and the perfecting of title thereto in said ASSIGNEE.

NOTE → The undersigned hereby authorize Pillsbury Madison & Sutro LLP, of the above address, to insert further identification necessary or desirable for recordation of this document.


Executed on the date/s below indicated.

Signature Date Signed

Witness

1)   
Name: **Homayoun Talieh**

7,6,99

2)   
Name: **Cyprian Emeka Uzoh**

7-6-99



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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September 21, 2004

(Date)

Tina Chen, Reg. No. 44,606

NOTIFICATION OF LOSS OF ENTITLEMENT TO  
 SMALL ENTITY STATUS 37 C.F.R. § 1.28(b)

Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

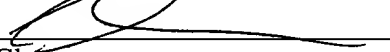
Dear Sir:

Applicant hereby notifies the Patent and Trademark Office that it is no longer entitled to status as a small entity and that the claim for small entity status set is hereby withdrawn.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: September 21, 2004

By:   
 Tina Chen  
 Registration No. 44,606  
 Attorney of Record  
 Customer No. 20,995  
 (415) 954-4114